

Form K

Establishing of the controlling person of operating legal entities and partnerships both not listed on a stock exchange

(for operating legal entities and partnership that are contracting partner as well as analogously for operating legal entities and partnership that are beneficial owners)

Company name

The contracting partner hereby declares that:

the person(s) listed below is/are **holding 25% or more of the contracting partner's shares (capital shares or voting rights);** or

if the capital shares or voting rights cannot be determined or in case there are no capital shares or voting rights 25% or more, that contracting partner hereby declares that the person(s) listed below **is/are controlling the contracting partner in other ways;** or

in case this/these person(s) cannot be determined or this/these person(s) does/no not exist, the contracting partner hereby declares that the person(s) listed below is/are the **managing director(s)**

Last name(s)

First name(s)

Address

ZIP

City

Country

Description of the nature of the business of the Contracting partner:

Fiduciary holding assets

Is a third person the beneficial owner of the assets held in the account/securities account?

No.

Yes. The relevant information regarding the beneficial owner has to be obtained by filling in a separate form (Form A).

The contracting partner hereby undertakes to inform automatically of any changes to the information contained herein.

Signature of the contracting partner:

Company name

Date

Name, function

Signature

Name, function

Signature

Name, function

Signature

Name, function

Signature

It is a criminal offense to deliberately provide false information on this form (article 251 of the Swiss Criminal Code, document forgery).